

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

~~02/13/2004 YPOLITE1 00000020 10775192~~

~~01 FC:1001 770.00 OP~~

~~Adjustment date: 06/10/2004 EEKUBAY1  
02/13/2004 YPOLITE1 00000020 10775192  
01 FC:1001 -770.00 OP~~

06/10/2004 EEKUBAY1 00000003 10775192

01 FC:2001 385.00 OP

Repln. Ref: 06/10/2004 EEKUBAY1 0011111100  
DAH:150030 Name/Number:10775192  
FC: 9204 \$385.00 CR

PTO-1556  
(5/87)

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DOCKET NO: 241744US13

STATUS AND ENTITY  
BRANCH

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

2004 MAY 13 PM 4:49

IN RE APPLICATION OF :  
JOHN WESLEY GARDNER : EXAMINER: NOT YET ASSIGNED  
SERIAL NO: 10/775,192 :  
FILED: FEBRUARY 11, 2004 : GROUP ART UNIT: 2837  
FOR: FLAT PANEL DIFFUSER :

REQUEST FOR REFUND BASED ON SUBMISSION OF ASSERTION OF SMALL  
ENTITY STATUS UNDER 37 CFR 1.28(A)

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant's attorney hereby requests that Applicant be refunded the excess fees paid for filing a patent application on February 11, 2004 as Applicant has claimed small entity status by filing an Assertion of Small Entity Status on May 11, 2004 for the above referenced application, serial no. 10/775,192, filed February 11, 2004.

Further, it is requested that the additional fees paid for filing in the amount of \$385.00 be refunded to Deposit Account 15-0030. A duplicate copy of this sheet is enclosed

Respectfully submitted,  
OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

\_\_\_\_\_  
Gregory J. Maier  
Attorney of Record  
Registration No. 25,599

Customer Number  
**22850**

Tel: (703) 413-3000  
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(OSMMN 08/03)

W. Todd Baker  
Registration No. 45,265

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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2004 MAY 13 PM 4: 49

IN RE APPLICATION OF: John Wesley GARDNER

SERIAL NO.: 10/775,192

FILED: February 11, 2004

FOR: FLAT PANEL DIFFUSER

**REQUEST FOR SMALL ENTITY STATUS**

This application qualifies for small entity status.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date:

5/11/04

*W. Todd Baker*

Gregory J. Maier

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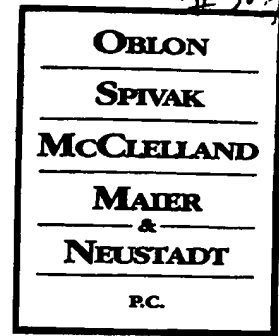


STATUS AND ENTRY  
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2004 MAY 13 PM 4:49

Docket No.: 241744US13

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 10/775,192  
Applicants: John Wesley GARDNER  
Filing Date: February 11, 2004  
For: FLAT PANEL DIFFUSER  
Group Art Unit: 2837  
Examiner: Not Yet Assigned

SIR:

Attached hereto for filing are the following papers:  
**REQUEST FOR REFUND BASED ON SUBMISSION OF ASSERTION OF SMALL  
ENTITY STATUS UNDER 37 CFR 1.28(A); and REQUEST FOR SMALL ENTITY  
STATUS.**

Our credit card payment form in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

  
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Gregory J. Maier

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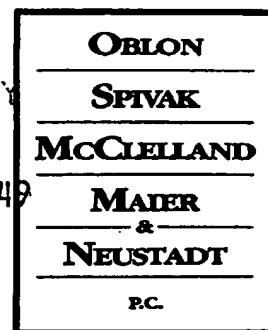


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